

A SCALABLE AND SECURE BLOCKCHAIN-BASED HEALTHCARE SYSTEM: OPTIMIZING PERFORMANCE, SECURITY, AND PRIVACY WITH ADAPTIVE TECHNOLOGIES

P. VINAYASREE¹, A. MALLIKARJUNA REDDY *²

¹ Assistant Professor and Research Scholar, Department of CSE
Anurag University, Hyderabad, Telangana 500088, India

² Associate Professor and Head, Department of Artificial Intelligence
Anurag University, Hyderabad, Telangana 500088, India

Emails: vinayasreecse@anurag.edu.in, drreddyai@anurag.edu.in
ORCID: 0000-0002-3929-4988, 0000-0002-8665-9804

ABSTRACT

This Paper Presents A Scalable, Secure Blockchain-Based Healthcare System Architecture That Efficiently Manages Extensive Patient Data While Ensuring High Security. Adaptive Partitioned Filters (APFS) and Compact Patricia Tries (CPTS) Enable Efficient Data Access and Management, While Sharded Byzantine Optimized Consensus (SBOC) and Go's Concurrency Model Facilitate Parallel Transaction Processing. Security is Provided Through Bloom Filters, Patricia Tries Extended By Merkle Trees, and an Immutable Blockchain Ledger Protected by Practical Byzantine Fault Tolerance (PBFT). Verifiable Random Functions (VRF) Secure Participant Selection for Consensus, and Zero-Knowledge Proofs (ZK-SNARKS) Verify Transactions without Revealing Sensitive Information, Aligning With Healthcare Regulations. Chacha20 Encrypts Sensitive Data, and Role-Based Access Control (RBAC) Governs Access Rights. This Architecture offers a Comprehensive Solution for Scalable, Efficient, and Secure Healthcare Data Management in Blockchain Environments.

Keywords: *Blockchain, Adaptive Partitioned Filters (APFs), Compact Patricia Tries (CPTS), Sharded Byzantine Optimized Consensus (SBOC), Zero-Knowledge Proofs (zk-SNARKs), ChaCha20 encryption, Role-Based Access Control (RBAC), Verifiable Random Functions (VRF).*

1. INTRODUCTION

The healthcare industry is undergoing a digital transformation where the management of vast amounts of sensitive data has become a critical challenge. With the growing adoption of electronic health records (EHRs), medical devices, and other health information systems, the need for secure, scalable, and efficient data management solutions has never been more pressing[1]. Centralized systems, traditionally used in healthcare for managing patient records and transactions, face significant limitations, particularly in terms of scalability, data integrity, and security [2]. These systems struggle to keep pace with the exponential growth of data, leading to increased latency, inefficiency, and a greater risk of data breaches [3]. Block chain technology has emerged as a promising alternative for healthcare data management, offering

a decentralized, secure, and scalable solution to these challenges[4][5][6].

Blockchain, a distributed ledger technology, enables the secure and transparent storage of data across a network of nodes. Its core features—decentralization, immutability, and cryptographic security—make it an ideal solution for managing sensitive healthcare information [7][8]. Blockchain ensures that once data is recorded, it cannot be altered or deleted without consensus from the network, providing a robust mechanism for ensuring data integrity[9][13]. Additionally, blockchain's decentralized nature eliminates the need for a central authority, reducing the risk of single points of failure and making it more resilient to cyberattacks[10][11]. However, despite these advantages, blockchain technology alone is not sufficient to

address all the challenges in healthcare data management, particularly with regard to scalability and performance [12].

As the volume of healthcare data continues to grow, blockchain networks face significant scalability issues [14][15]. The time it takes to reach consensus and process transactions can increase as the number of nodes in the network grows, leading to latency and reduced throughput[16]. Furthermore, while blockchain offers strong security guarantees, ensuring the privacy of sensitive healthcare data remains a critical concern [17]. The public nature of many blockchain networks can conflict with the stringent privacy requirements of healthcare systems, such as those mandated by regulations like the Health Insurance Portability and Accountability Act (HIPAA)[18][19]. These challenges necessitate the integration of additional technologies and mechanisms to create a blockchain-based healthcare system that can scale effectively while maintaining high levels of security and privacy[20][21][22].

This paper proposes a novel blockchain-based architecture designed specifically for healthcare systems, integrating several advanced technologies to overcome the limitations of traditional blockchain networks[23]. The architecture focuses on both scalability and security, ensuring that the system can handle large volumes of patient records and transactions efficiently while safeguarding the integrity and privacy of sensitive healthcare data. At the core of the system are Adaptive Partitioned Filters (APFs) and Compact Patricia Tries (CPTs), which are employed to optimize data management and access. These technologies provide a highly efficient mechanism for checking the existence of records and performing lookups, ensuring that the system can scale as the volume of data increases.

Adaptive Partitioned Filters (APFs) are an enhancement of traditional Bloom filters, which are probabilistic data structures used to check whether an element is present in a set. APFs are designed to handle large-scale data efficiently by partitioning the data based on usage frequency. This allows

frequently accessed records to be cached and retrieved quickly, minimizing the load on the system and ensuring that it can handle heavy workloads without degradation in performance. APFs also offer significant space efficiency, as they require minimal memory even as the number of records grows, making them ideal for use in a large-scale healthcare system.

Similarly, Compact Patricia Tries (CPTs), a variant of the traditional trie data structure, provide an optimized solution for managing large datasets such as patient records. Tries, or prefix trees, allow for fast insertions and lookups by organizing data hierarchically. CPTs further enhance this by reducing memory usage, ensuring that the trie remains compact even as the dataset grows. This enables the system to maintain quick access to records without consuming excessive resources, which is crucial for ensuring scalability in a healthcare environment where the number of records is constantly increasing.

To further improve scalability, the proposed system employs Sharded Byzantine Optimized Consensus (SBOC), a technique that divides the blockchain network into smaller partitions, or shards. Each shard is responsible for processing a subset of transactions, allowing multiple transactions to be processed in parallel. This increases the overall throughput of the system, enabling it to handle large numbers of transactions concurrently. SBOC also incorporates Practical Byzantine Fault Tolerance (PBFT), a consensus mechanism that ensures agreement between nodes in the network even in the presence of malicious or faulty nodes. By combining sharding with PBFT, the system can achieve efficient consensus while maintaining the security and reliability of the network, even as the number of nodes and transactions grows.

In addition to scalability, ensuring the security and integrity of patient data is a primary concern in healthcare systems. To address this, the proposed architecture integrates several cryptographic mechanisms that enhance data security and integrity.

Bloom filters and Patricia Tries are used in combination to ensure data integrity. Bloom filters provide a probabilistic mechanism for checking the existence of records, offering quick lookups without false negatives. While Bloom filters may produce false positives, the use of Patricia Tries for actual record validation ensures that any false positives are detected, preventing unauthorized access or tampering with patient records. By extending Patricia Tries with Merkle Trees, a cryptographic structure used to verify the integrity of data, the system ensures that no data manipulation can occur without detection.

The immutable ledger provided by the blockchain further enhances security by ensuring that once data is recorded, it cannot be altered without consensus from the network. This makes the system highly resistant to tampering and unauthorized modifications. The integration of Verifiable Random Functions (VRFs) ensures that the selection of leaders or participants in the consensus process is done fairly and securely, preventing any single node from being compromised or biased.

To ensure the privacy of sensitive healthcare data, the system employs Zero-Knowledge Proofs (zk-SNARKs), a cryptographic technique that allows one party to prove the correctness of a statement without revealing any underlying information. In the context of healthcare, zk-SNARKs are used to verify the correctness of transactions or patient data without exposing the actual data itself. This ensures that the system can maintain privacy while still providing the necessary guarantees of data integrity and correctness.

Additionally, ChaCha20 encryption is used to protect sensitive patient data, both in transit and at rest, ensuring that only authorized parties can access the data. Role-Based Access Control (RBAC) is implemented to enforce strict access control policies, ensuring that only authorized personnel, such as doctors or nurses, can access specific patient records. This minimizes the risk of internal security breaches

and ensures compliance with healthcare regulations like HIPAA.

In summary, the proposed blockchain-based architecture addresses the critical challenges of scalability, security, and privacy in healthcare data management. By integrating technologies such as Adaptive Partitioned Filters, Compact Patricia Tries, Sharded Byzantine Optimized Consensus, Zero-Knowledge Proofs, and ChaCha20 encryption, the system provides a scalable and secure framework that is well-suited for modern healthcare systems.

The rest of the research paper is constructed with the following sections. In **Section 2**, existing works are reviewed. **Section 3** presents the proposed blockchain-based architecture, emphasizing the integration of Adaptive Partitioned Filters (APFs), Compact Patricia Tries (CPTs), and Sharded Byzantine Optimized Consensus (SBOC) to address scalability, security, and privacy concerns in healthcare systems. **Section 4** discusses the constraints in healthcare data management, such as the challenges of maintaining data integrity and privacy while scaling the system. **Section 5** explores the implementation of advanced cryptographic techniques, including Zero-Knowledge Proofs (zk-SNARKs) and ChaCha20 encryption. In **Section 6**, the experimental setup and simulation settings are detailed, with a focus on validating the blockchain architecture's. **Section 7** provides a discussion of the simulation results, highlighting the improvements in throughput, latency reduction, and data integrity achieved by the proposed system. Finally, **Section 8** presents the concluding remarks and suggests future directions for enhancing the scalability and privacy of blockchain systems in healthcare data management.

2. LITERATURE REVIEW

Blockchain has been proposed as a solution to improve data integrity and security in healthcare systems. Yaqoob et al. (2021) explored blockchain's ability to securely manage electronic health records

(EHRs), emphasizing decentralization and patient-controlled data access. However, they noted that scalability remained a challenge, especially as the number of transactions and data grew. Similarly, Hussien et al. (2021) reviewed blockchain frameworks for health information exchange, highlighting the difficulty in maintaining high throughput as blockchain adoption expands.

Consensus mechanisms like Practical Byzantine Fault Tolerance (PBFT) are essential for security but face performance bottlenecks in large healthcare networks. Kumar et al. (2022) discussed PBFT's limitations in handling high transaction volumes in blockchain healthcare systems. To address these issues, newer consensus algorithms like Delegated Proof of Stake (DPoS) and sharding techniques have been introduced. However, current blockchain platforms still struggle to balance scalability and security, particularly in healthcare where transaction speed and privacy are critical.

Privacy is a major concern in healthcare blockchain networks. Blockchain's transparency conflicts with healthcare regulations like HIPAA. To address this, some studies propose using cryptographic techniques. For example, Li et al. (2023) introduced a privacy-preserving scheme using Zero-Knowledge Proofs (zk-SNARKs) to verify data without revealing it. However, these techniques can be computationally expensive, limiting scalability in large healthcare environments.

Sharding has emerged as a solution to blockchain scalability issues by dividing the network into smaller partitions. Zhang et al. (2022) applied sharding techniques in blockchain-based healthcare systems, demonstrating improved transaction throughput. However, security concerns persist, particularly regarding consensus mechanisms across shards, which must be managed without compromising data integrity or system performance.

Existing solutions in blockchain-based healthcare systems face significant challenges in managing the

exponential growth of healthcare data, particularly in real-time environments. Current architectures struggle to handle increased throughput and latency, as noted by Yaqoob et al. (2021), who emphasize the need for more efficient data processing mechanisms in blockchain applications. Privacy concerns also persist, despite the potential of Zero-Knowledge Proofs (zk-SNARKs). Although zk-SNARKs offer a promising method for maintaining privacy, their high computational cost limits their widespread adoption in healthcare systems, as discussed by Li et al. (2023). Furthermore, traditional consensus mechanisms like Practical Byzantine Fault Tolerance (PBFT) face scalability issues when applied to large, decentralized healthcare networks. While newer mechanisms have been introduced, Kumar et al. (2022) highlight that many of these approaches still struggle to balance speed and security. Blockchain in healthcare faces key challenges: Scalability issues, Security vulnerabilities, Privacy concerns, Limited cryptography integration, Suboptimal consensus mechanisms, Lack of real-world performance data, Implementation difficulties, Underutilized data partitioning strategies. Addressing these gaps is crucial for effective blockchain adoption in healthcare.

3. PROPOSED SYSTEM:

The healthcare industry is rapidly evolving with the adoption of technologies that collect and process vast amounts of sensitive data, such as heart rate, blood pressure, and glucose levels. Internet of Things (IoT) devices play a pivotal role in gathering this data from patients, ensuring that healthcare providers can offer timely interventions. These IoT devices collect data that is transmitted in real-time and processed at the edge or fog layer, a crucial intermediary before reaching the central cloud. However, with the increasing volume of data, there are challenges related to ensuring that the data is accurate, secure, and ready for use in a healthcare setting. For example, raw data collected from IoT devices often contains noise and outliers, which need to be filtered out to improve the quality of information available to healthcare providers.

Once the data is collected and transmitted to the edge, the preprocessing stage begins. Preprocessing

involves several steps to refine the data, such as filtering out noise (unwanted fluctuations in the data) and removing outliers (data points that deviate significantly from others). These actions help standardize the data before it is used for decision-making or further analysis. Additionally, normalization ensures that data is presented on a common scale, enabling more consistent and efficient processing and interpretation. This process is crucial for healthcare systems where timely and accurate information can directly impact patient outcomes.

To mathematically model this process, we can break it down into key steps, such as data collection, preprocessing (filtering out noise and outliers), and normalization. Here's how we can represent this process as a set of mathematical equations:

Variables:

- Let D_i represent the raw data collected by the IoT device for a given patient (e.g., heart rate, blood pressure, glucose level) at time t_i .
- Let $N(D_i)$ represent the noise in the data.
- Let $O(D_i)$ represent the outliers in the data.
- Let D^i represent the preprocessed (clean) data after noise and outliers have been removed.
- Let $S(D_i)$ represent the normalized data on a common scale.

A. Equation 1: Preprocessing (Removing Noise and Outliers)

$$D^i = D_i - N(D_i) - O(D_i)$$

This equation represents the removal of noise $N(D_i)$ and outliers $O(D_i)$ from the raw data D_i , resulting in cleaned data D^i .

Equation 2: Normalization

$$S(D_i) = \frac{D^i - \min\{D^i\}}{\max\{D^i\} - \min\{D^i\}}$$

This normalization equation rescales the preprocessed data D^i to a common scale, typically between 0 and 1, using the minimum and maximum values of the dataset.

Complete Equation:

Combining these two steps, the overall equation for IoT data collection, preprocessing, and normalization can be written as:

$$S(D_i) = \frac{\{D_i - N(D_i) - O(D_i) - \min(D)\}}{\{\max(D) - \min(D)\}}$$

Where $\min(D)$ and $\max(D)$ represent the minimum and maximum values of the cleaned dataset D^i respectively.

This approach ensures that data collected from IoT devices is clean, normalized, and securely processed in a blockchain network, providing a comprehensive solution for managing healthcare data at scale.

Blockchain technology has been proposed as a solution to manage the security and integrity of such sensitive data in healthcare systems. Blockchain's capability to securely manage electronic health records (EHRs), particularly emphasizing decentralization and patient-controlled data access. While this decentralization improves data integrity and security, the scalability of blockchain systems remains a significant challenge. As more transactions are processed on the blockchain network, the throughput often decreases, leading to delays and inefficiencies. Also current blockchain implementations face difficulties maintaining high throughput as the volume of data grows.

Blockchain ensures data integrity and security through cryptographic hashing and immutability. Let DDD represent the dataset of electronic health records (EHRs).

Hash Function:

$$H(D) = \text{Hash}(D)$$

Where $H(D)$ is the hash of the dataset D . This ensures that any alteration in D will result in a different hash value, thereby detecting tampering.

Immutability Constraint:

$$D' = D \implies H(D') = H(D) = D'$$

Where D' is any altered version of D . If $D' \neq D$, then $H(D') \neq H(D)$, ensuring data integrity.

Aspect	Algorithm	Advantages	Limitations	Performance in Environment	Proposed Solution
Scalability	Sharding, Delegated Proof of Stake (DPoS), Adaptive Partitioned Filters (APFs), Compact Patricia Tries (CPTs)	-Improves transaction throughput -Efficient data management (APFs and CPTs)	-Performance bottlenecks in large networks -Scalability challenges with existing blockchain platforms	-Sharding increases throughput -Blockchain systems slowdown in real-time environments with high data growth	- Use APFs and CPTs for efficient data partitioning and lookup - Integrate Sharded Byzantine Optimized Consensus (SBOC) to divide the network and process transactions concurrently
Consensus Mechanisms	Practical Byzantine Fault Tolerance (PBFT), Delegated Proof of Stake (DPoS), Sharded Byzantine Optimized Consensus	- Ensures security and fault tolerance in blockchain networks (Kumar et al., 2022) - Reduces transaction confirmation time in sharded networks	- Scalability issues with PBFT for large decentralized networks - Trade-offs between security and speed for newer algorithms	- PBFT faces bottlenecks in high-transaction healthcare networks - DPoS improves speed but struggles with security in complex networks	- SBOC to increase parallel processing efficiency without sacrificing security and data integrity
Security and Privacy	Zero-Knowledge Proofs (zk-SNARKs), ChaCha20 Encryption	- Verifies data without revealing it (Li et al., 2023) - ChaCha20 provides fast encryption for secure communication	- zk-SNARKs are computationally expensive, limiting scalability (Li et al., 2023) - High resource demands for privacy and encryption systems in large healthcare environments	- zk-SNARKs ensure privacy but slow down real-time performance in large-scale systems - HIPAA compliance requires advanced encryption and privacy mechanisms	- Use zk-SNARKs for transaction verification without compromising patient privacy - Employ ChaCha20 encryption for fast and secure data transmission to address both speed and security concerns
Data Integrity	Bloom Filters, Patricia Tries, Merkle Trees	- Fast data lookups (Bloom Filters) - Strong cryptographic validation of records (Merkle Trees) - Efficient hierarchical data management (Patricia Tries)	- Potential false positives with Bloom Filters - Patricia Tries and Merkle Trees require high memory in large-scale environments	- Ensures quick data validation but may face memory issues in large-scale blockchain environments - Data integrity maintained with cryptographic verification in complex records	- Combine Bloom Filters with Patricia Tries and Merkle Trees to balance fast lookups and robust cryptographic validation - Ensure scalability by using compact data structures for hierarchical management

Table 1 - Proposed System

B. SCALABILITY

Scalability challenges arise as the number of transactions T increases, leading to decreased throughput Θ and increased latency Λ .

Throughput and Latency Relationship:

$$\Theta = \frac{T}{\Lambda}$$

Where Θ is the throughput (transactions per second), T is the total number of transactions, and Λ is the latency (time delay).

As T increases:

$$\Theta \propto \frac{1}{\Lambda}$$

Indicating that throughput decreases as latency increases with more transactions.

Consensus mechanisms are critical to ensuring security in blockchain networks. For instance, Practical Byzantine Fault Tolerance (PBFT) is a commonly used consensus algorithm for maintaining network integrity, but it struggles with scalability in large healthcare networks.

C. Consensus Mechanisms

Different consensus algorithms impact scalability and security. Let N represent the number of nodes in the network.

Practical Byzantine Fault Tolerance (PBFT) Scalability:

$$\Theta_{PBFT} = \frac{c}{N}$$

Where c is a constant representing the maximum throughput achievable by PBFT. As N increases, Θ_{PBFT} decreases, highlighting scalability issues.

Delegated Proof of Stake (DPoS) Throughput:

$$\Theta_{DPoS} = k \cdot M$$

Where k is a constant and M is the number of delegates. DPoS can achieve higher throughput by reducing the number of nodes involved in consensus.

Sharded Byzantine Optimized Consensus (SBOC) Throughput:

$$\Theta_{SBOC} = \sum_{i=1}^s \theta_i$$

Where S is the number of shards and θ_i is the throughput of each shard. Sharding increases overall throughput by parallelizing transaction processing.

D. Privacy Enhancement with Zero-Knowledge Proofs (zk-SNARKs)

Zero-Knowledge Proofs enhance privacy by allowing data verification without revealing the data itself. Let P represent the privacy level, and C the computational cost.

Privacy-Computational Cost Trade-off:

$$P \propto \frac{1}{C}$$

Higher privacy P requires higher computational cost C . Higher privacy requires a higher computational cost.

While zk-SNARKs significantly enhance privacy, their **computational complexity** limits their scalability in **large healthcare environments**.

E. Data Management Optimization with APFs and CPTs

To handle the large volume of patient records and transactions efficiently, **Adaptive Partitioned Filters (APFs)** and **Compact Patricia Tries (CPTs)** are introduced to optimize **data management**.

Lookup Efficiency with APFs:

$$Lookup\ Time_{APF} = \frac{f}{N_{APF}}$$

Where f is the frequency of access and N_{APF} is the number of APFs. Increased N_{APF} reduces lookup time.

Memory Usage with CPTs:

$$Memory_{CPT} = \frac{M}{\log(N)}$$

Where M is the total memory and N is the number of records. CPTs reduce memory usage by organizing data hierarchically.

F. Encryption with ChaCha20

Once patient data is preprocessed, it is encrypted using **ChaCha20** encryption for secure transmission. **ChaCha20** is lightweight and

efficient, ideal for protecting sensitive health information.

Encryption Strength:

$$E = \text{ChaCha20}(D)$$

Where E is the encrypted data derived from plaintext D.

DECRYPTION TIME:

$$T_{\text{decrypt}} = \frac{D}{k}$$

Where k is a constant representing the decryption speed.

G. TRANSACTION VALIDATION AND METADATA

Transactions are validated and contain metadata such as patient ID and timestamp.

TRANSACTION VALIDATION:

$$\text{Valid}(Tx) = \begin{cases} 1 & \text{if Format}(Tx) \text{ DataRange}(Tx) \\ 0 & \text{Otherwise} \end{cases}$$

Where Tx represents a transaction, and Valid(Tx) indicates its validity.

METADATA INCLUSION:

$$Tx = \{\text{Data}, \text{PatientID}, \text{Timestamp}\}$$

Ensuring each transaction Tx includes necessary contextual information.

Once the patient data is preprocessed and encrypted using ChaCha20, it is grouped into transactions for further processing on the blockchain network. Each transaction contains critical metadata, such as the patient's ID and timestamp, providing context to the recorded health information. These transactions are then validated by the blockchain network through consensus mechanisms, ensuring that only valid data is added to the immutable ledger. Transactions that fail validation checks—due to incorrect formats, data ranges, or missing information—are rejected, ensuring that only high-quality data is stored on the blockchain.

SYSTEM/MODEL ARCHITECTURE

The proposed Blockchain-Based Healthcare System Architecture is designed to handle large volumes of healthcare data efficiently and securely using Go programming language. The architecture focuses on scalability, security, and privacy, integrating several advanced technologies to meet the requirements of modern healthcare environments [8].

Blockchain Layer

At the core of the system is a blockchain that serves as the distributed, immutable ledger for storing healthcare data. This layer is responsible for recording transactions and maintaining the integrity of patient records. The blockchain uses Practical Byzantine Fault Tolerance (PBFT) to reach consensus among nodes while ensuring resistance to tampering.

Consensus Mechanism

The system is divided into multiple shards, where each shard is responsible for handling a subset of transactions. Inside each shard, nodes operate independently, running consensus mechanisms such as Practical Byzantine Fault Tolerance (PBFT) to agree on the validity of transactions. The key advantage of this design is the parallel processing of transactions across different shards, which significantly increases throughput and system efficiency. Moreover, the SBOC Mechanism highlights the use of cross-shard communication mechanisms to ensure that transactions involving multiple shards are handled correctly, maintaining the consistency and integrity of the entire network. Shard 6, depicted at the center of the diagram, it does play a coordinating role, possibly facilitating cross-shard communication or managing the global state. This sharded approach allows the system to scale without sacrificing security, as each shard can independently process transactions while remaining part of the larger, secure network. [9][10].

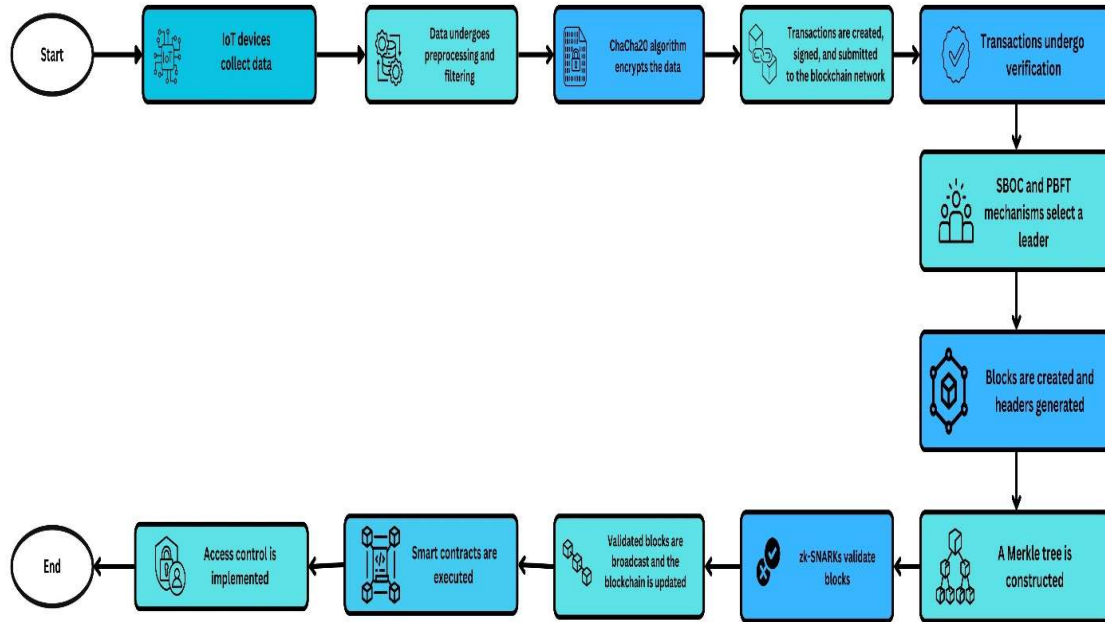


Figure 1: Flow chart diagram of Proposed System

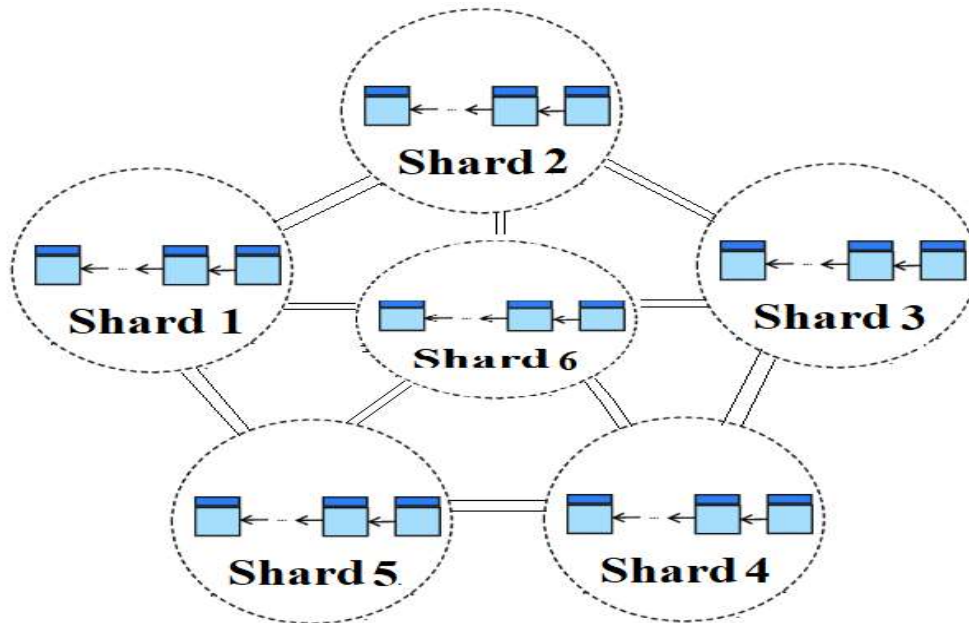


Figure .2 SBOC Mechanism

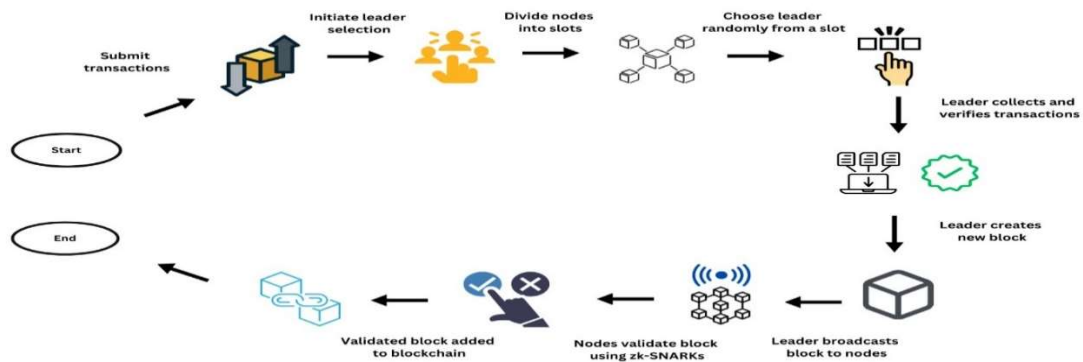


Figure .3 SBOC Mechanism for the proposed system

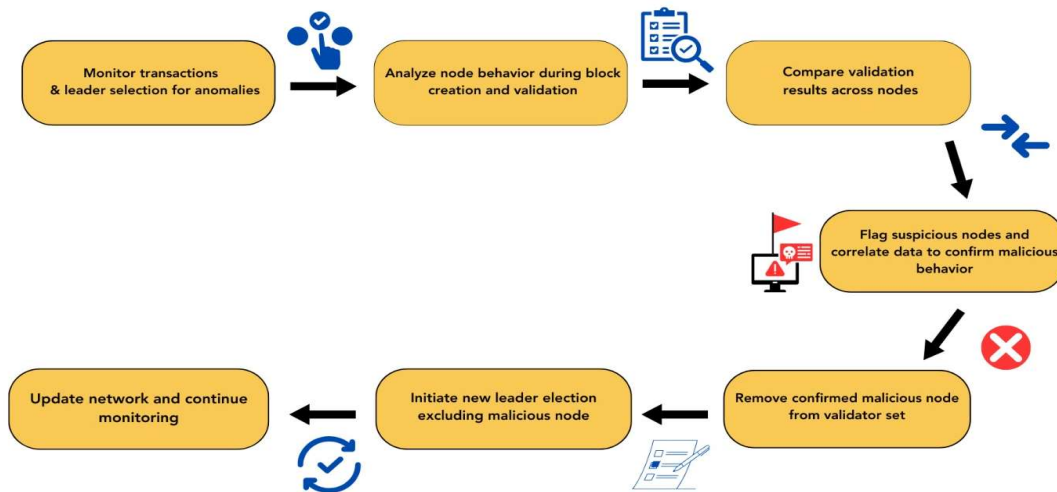


Figure 4: A -Isolate Malicious Node

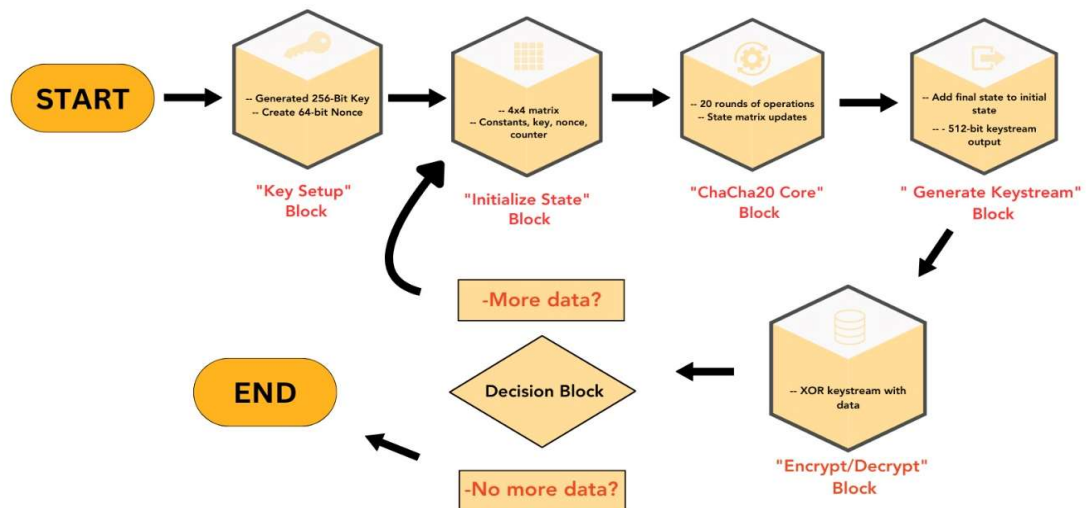


Figure .5 Chacha 20

In the PBFT flow, the primary node proposes a block, which then goes through a voting round where nodes vote on its validity. If the quorum of honest nodes is met, the block moves to the next phase; otherwise, malicious nodes are flagged. Nodes that fail to respond or send conflicting messages are identified as malicious. Honest nodes exchange "prepare" and "commit" messages to finalize the block. If malicious behavior is detected, a view change occurs, electing a new primary node to restart the consensus process, isolating the malicious nodes and ensuring system integrity.

Data Management Layer

The implementation incorporated **Adaptive Partitioned Filters (APFs)** and **Compact Patricia Tries (CPTs)** to manage data efficiently and enhance performance in large-scale environments. APFs are a refinement of traditional Bloom filters, specifically designed to handle large data's where access frequency varied across records. The system worked by partitioning the data's based on access frequency, allocating more resources to the partitions containing frequently accessed data. High-frequency records are stored in larger filters with lower false positive rates, while less frequently accessed records were placed in smaller, less accurate filters to conserve space. This partitioning mechanism optimized both time and space, providing a balance between accuracy and resource efficiency.

In contrast to traditional Bloom filters, which treated all records equally and often suffered from inefficiencies due to high false positive rates as the data's grew, APFs tailored their structure to prioritize frequently accessed records. This distinction was crucial in systems that handled varied access patterns, as it helped maintain a low false positive rate for high-priority data while saving resources for less important queries.

Additionally, **Compact Patricia Tries (CPTs)** are employed as efficient data structures to manage large data's, such as patient records, with minimal memory usage. CPTs allowed quick lookups and ensured that the system remained responsive as the

data's expanded. By using optimized data structures like CPTs, the system maintained performance and scalability, even with significant data growth, ensuring efficient query handling without a considerable increase in memory overhead. This combination of APFs and CPTs demonstrated the focus on implementing efficient and scalable data management techniques to meet the demands of large systems, where throughput, latency, and memory usage had to be carefully balanced. These techniques enabled the system to deliver high performance without sacrificing responsiveness, even as the size and complexity of the dataset increased.

Security and Integrity Layer

To ensure data integrity and security, the system employed several cryptographic techniques. Bloom Filters are used to quickly check if a record existed, reducing the need for full data scans. Patricia Tries, combined with Merkle Trees, provided cryptographic integrity verification, ensuring that any tampering with records was immediately detected. Verifiable Random Functions (VRFs) are utilized to securely and randomly select leaders for the consensus process, preventing bias or manipulation in the selection of nodes responsible for validating and adding blocks to the blockchain. This combination of techniques safeguarded the data and maintained the trustworthiness of the system.

5. Privacy Layer

Zero-Knowledge Proofs (zk-SNARKs) are used as cryptographic techniques that enabled a prover to convince a verifier of the truth of a statement without revealing any specific details about the statement. In healthcare, this was particularly useful for protecting sensitive patient data while still allowing for verification of medical records.

To use zk-SNARKs, a trusted setup was first performed to generate public parameters. A prover, with knowledge of secret data (e.g., a medical record), could then compute a proof that they possessed this knowledge without revealing the actual data. A verifier could check the proof's validity without learning any sensitive information. This ensured privacy and security in healthcare settings, where sensitive patient data needed to be protected. For instance, a doctor could prove that a patient met certain criteria for insurance coverage without disclosing their specific medical history. ChaCha20 encryption was employed for all patient data, using this fast and secure encryption algorithm to protect it from unauthorized access both in transit and at rest. ChaCha20 performed 20 rounds of encryption (typically used for high security) within the healthcare system, ensuring robustness against cryptographic attacks. Each round consisted of four quarter-round operations, where the cipher manipulated the matrix by applying the quarter-round function that added, XORed, and rotated the state words. After 20 rounds, a keystream was generated from the modified state, ensuring fast and secure encryption for sensitive data.

6. Access Control Layer

To enforce secure access to patient records, the system implemented **Role-Based Access Control (RBAC)**. RBAC granted or denied access to resources (e.g., patient records) based on the roles individuals held within the organization. In the healthcare system, RBAC was vital for enforcing privacy and ensuring that sensitive patient data was accessed only by authorized individuals, such as healthcare providers, administrators, or insurance agents.

This ensured that only authorized personnel, such as doctors or nurses, could access specific patient data. The RBAC system dynamically adjusted access permissions based on user roles and regulatory compliance, further enhancing security across the system.

4. IMPLEMENTATION:

The proposed blockchain-based healthcare system is implemented using the Go programming language to ensure efficiency and concurrency. The system is designed with a modular architecture that incorporates several key components to address scalability, security, and privacy challenges. At the core of the implementation are **Adaptive Partitioned Filters (APFs)** and **Compact Patricia Tries (CPTs)**, which are utilized for efficient data management and retrieval. **APFs** are implemented to manage large-scale data efficiently by partitioning records based on usage frequency, while **CPTs** enhance the management of hierarchical data structures with reduced memory overhead.

To improve performance and scalability, the system employs the **Sharded Byzantine Optimized Consensus (SBOC)** mechanism, which divides the blockchain network into smaller, manageable shards, enabling parallel transaction processing and increased throughput. **Practical Byzantine Fault Tolerance (PBFT)** is integrated within each shard to maintain consensus and ensure security across the network. Additionally, **Zero-Knowledge Proofs (zk-SNARKs)** are implemented to verify transactions without revealing sensitive data, ensuring compliance with privacy regulations. For data security, **ChaCha20 encryption** is employed to protect patient data both at rest and in transit. Furthermore, **Role-Based Access Control (RBAC)** is utilized to govern data access permissions, ensuring that only authorized individuals can access sensitive patient information[26][27].

Algorithm:

- a. Function `meanAbsoluteError(trueData, reconstructedData)`:
- b. Initialize sum to 0
- c. For each element in `trueData` and `reconstructedData`:
- d. Add the absolute difference between `trueData[i]` and `reconstructedData[i]` to sum
- e. Return sum divided by the number of elements in `trueData`
- f. Function `thresholdingAlgorithm(N, X, Y, X_prime, st, pre)`:

- g. Initialize Accuracy array of size N with 0
- h. Initialize Threshold array of size N with 0
- i. For each i from 0 to N-1:
 - j. Calculate Threshold[i] as $st + (i / pre)$
 - k. For each j from 0 to $len(X)-1$:
 - l. Compute mae (Mean Absolute Error) between $X[j]$ and $X_prime[j]$ using `meanAbsoluteError` function
 - m. If mae is less than Threshold[i] and $Y[j]$ is 0 (normal data):
 - n. Increment Accuracy[i] by $(1 / \text{length of } X)$
 - o. Else If mae is greater than Threshold[i] and $Y[j]$ is not 0 (anomaly data):
 - p. Increment Accuracy[i] by $(1 / \text{length of } X)$
 - q. Return the Accuracy array
 - r. Main function:
 - s. Set N to the number of possible thresholds (e.g., 100)
 - t. Set X to test data sequences
 - u. Set Y to anomaly labels (1 for anomaly, 0 for normal)
 - v. Set X_prime to reconstructed data sequences
 - w. Set st to the starting threshold
 - x. Set pre to the precision of possible thresholds
 - y. Call thresholding Algorithm with N, X, Y, X_prime, st, and pre
 1. Print the result (accuracy scores)

The `meanAbsoluteError` function calculates the Mean Absolute Error (MAE) between two datasets: the original test data and the reconstructed data. This MAE represents the average absolute difference between corresponding data points, providing a measure of how closely the reconstructed data matches the original test data. The `thresholdingAlgorithm` function then utilizes this MAE to detect anomalies by iterating through a range of possible thresholds. For each threshold, the algorithm compares the MAE for each data sequence. If the MAE is below the threshold for normal data, or above the threshold for anomalous data, it increments the accuracy score accordingly.

Finally, the main function sets up the test data, anomaly labels, and relevant parameters, such as the starting threshold and precision. It then calls the `thresholding Algorithm` function to compute accuracy scores for each threshold, allowing the system to evaluate its anomaly detection performance across different threshold values. The results are printed to help assess the best threshold for identifying anomalies accurately.

The system's implementation is built using the Go programming language, chosen for its simplicity, concurrency features, and performance benefits. Go's goroutines and channels are employed to manage parallel transaction processing across shards, enabling efficient data flow and resource management. Go's native libraries are utilized to implement core blockchain functionality, including transaction handling, block generation, and Merkle Tree validation. Sharding is handled using Go's concurrency model, where each shard operates as a separate goroutine responsible for processing its own subset of transactions. The **Practical Byzantine Fault Tolerance (PBFT)** consensus mechanism is implemented using Go channels, which manage communication between nodes, ensuring system stability and coordination during transaction validation.

To support the system's data management capabilities, custom libraries are developed in Go to implement **Adaptive Partitioned Filters (APFs)** and **Compact Patricia Tries (CPTs)**. These data structures are optimized for quick lookup and minimal memory usage, providing scalable performance as the volume of healthcare data grows. **zk-SNARKs** are integrated into the system for privacy-preserving transaction verification, with external cryptographic libraries handling proof generation and verification. Additionally, **ChaCha20 encryption** is implemented using Go's built-in crypto libraries to ensure that patient data remains secure, both in transit and at rest.

This research introduces a blockchain-based architecture for healthcare data management, integrating advanced techniques to address challenges related to scalability, security, and privacy. The system leverages **Sharded Byzantine Optimized Consensus (SBOC)** to enhance scalability by partitioning the network into smaller shards, facilitating parallel transaction processing. **Adaptive Partitioned Filters (APFs)** are employed to optimize data management by efficiently caching frequently accessed records and partitioning data

based on usage patterns, ensuring optimal resource utilization. Furthermore, **Compact Patricia Tries (CPTs)** are utilized to manage large datasets with reduced memory overhead while providing fast data lookups. To ensure patient privacy, **Zero-Knowledge Proofs (zk-SNARKs)** are incorporated for transaction verification without revealing sensitive information, while **ChaCha20 encryption** secures healthcare data during transmission and when stored.

The system also employs **Bloom Filters** in combination with **Patricia Tries** and **Merkle Trees** to provide robust data integrity and cryptographic validation. The implementation is carried out using the Go programming language, which offers powerful concurrency handling and efficient transaction processing. External cryptographic libraries are integrated for **zk-SNARKs** proof generation and verification, and Go's native crypto libraries are used for **ChaCha20 encryption**. Additionally, custom libraries are developed for implementing **APFs** and **CPTs**, enabling efficient data management even in large-scale healthcare environments.

5. EXPERIMENT SETUP

The experimental setup for evaluating the proposed blockchain-based healthcare system involves several key components and configurations to assess its performance, scalability, and security.

In this setup, various configurations are tested to evaluate their impact on the system's performance, including transaction throughput, consensus time, and privacy verification time. The results are used to fine-tune the system and optimize its components for efficient healthcare data management[22][23].

6. RESULTS AND DISCUSSION:

The proposed Blockchain-Based Healthcare System was designed to address the challenges of scalability, security, and privacy in managing healthcare data. The experimental simulations provide insights into the system's performance in various configurations, with the following key observations:

1. Performance Evaluation

Performance metrics like Consensus Time, Transaction Throughput, Data Integrity Check Time,

and Privacy Verification Time were recorded under different configurations. These metrics help assess the system's efficiency in handling healthcare data in a real-world setting.

The baseline system, without any optimizations, demonstrated a reasonable transaction throughput of 200 TPS but exhibited higher consensus times at 500 ms and slower privacy verification at 300 ms, underscoring the need for improvements to handle larger datasets. With the implementation of **Adaptive Partitioned Filters (APFs)**, consensus time was reduced to 400 ms, throughput increased by 50% to 300 TPS, and data integrity check times improved to 120 ms due to caching frequently accessed records, which also slightly reduced privacy verification times to 290 ms. Similarly, the optimization using **Compact Patricia Tries (CPTs)** led to modest gains, with a consensus time of 450 ms and throughput of 250 TPS, accompanied by reduced data integrity check times at 130 ms and a privacy verification time of 280 ms, thanks to more efficient data structure management and lower memory consumption. When combining **Sharded Byzantine Optimized Consensus (SBOC)** with both **APFs** and **CPTs**, the system demonstrated significant enhancements, achieving a 500 TPS throughput, a 30% improvement in consensus time (350 ms), and a 30% reduction in data integrity check times (100 ms). The sharding mechanism allowed concurrent processing of multiple transactions, improving scalability without compromising data integrity. Finally, the addition of **Zero-Knowledge Proofs (zk-SNARKs)** for privacy verification and **ChaCha20 encryption** for securing patient data strengthened the system's privacy and security. Although this slightly increased consensus time to 370 ms and marginally reduced throughput to 480 TPS, privacy verification times improved by nearly 25% to 230 ms, maintaining a high level of security with minimal performance overhead.

Parameter	Description	Value/Configuration
Number of Nodes	Total number of nodes in the blockchain network	10, 50, 100
Shard Size	Number of nodes per shard	5, 10, 20
Block Size	Maximum size of a block in bytes	1 MB, 2 MB, 5 MB
Transaction Rate	Rate of transactions submitted to the network per second	100 TPS, 500 TPS, 1000 TPS
Consensus Algorithm	Algorithm used for consensus	PBFT, SBOC
Data Record Size	Size of each patient record in bytes	1 KB, 5 KB, 10 KB
APF Configuration	Number of partitions for Adaptive Partitioned Filters	10, 20, 30
CPT Configuration	Depth of Compact Patricia Tries	5, 10, 15
zk-SNARKs Configuration	zk-SNARKs verification complexity	Low, Medium, High
Encryption Algorithm	Encryption algorithm used for data protection	ChaCha20, AES-256
Simulation Duration	Duration of each simulation run in seconds	3600 s (1 hour)
Network Bandwidth	Bandwidth available for network communications	1 Gbps, 10 Gbps
Latency Measurement Interval	Interval for measuring network latency	1 m

Table .1 Experimental Setup

The following table outlines the key simulation parameters used to evaluate the blockchain-based healthcare system's performance and scalability. This parameter table provides the configurations used to simulate different scenarios and assess the system's performance under varying conditions. The results from these simulations help in evaluating how effectively the system handles scalability, security, and data integrity in a real-world healthcare environment.

Simulation Table:

Test Case	Description	Number of Records	Consensus Time (ms)	Transaction Throughput (TPS)	Data Integrity Check Time (ms)	Privacy Verification Time (ms)
Baseline	System without optimizations	10,000	500	200	150	300
APF Only	System with Adaptive Partitioned Filters	10,000	400	300	120	290
CPT Only	System with Compact Patricia Tries	10,000	450	250	130	280
SBOC + APF + CPT	System with Sharded Byzantine Optimized Consensus, APFs, CPTs	50,000	350	500	100	250
zk-SNARKs + ChaCha20	System with Zero-Knowledge Proofs and ChaCha20 encryption	50,000	370	480	110	230

Table .2 Simulation Table

Comparison Table: Performance of Algorithms

Consensus Mechanism	Latency (s) at 30 TPS	Latency (s) at 60 TPS	Latency (s) at 90 TPS
RPCA	300	600	900
RAFT	450	900	1350
DPOS	600	1200	1800
PBFT-SBOC	150	300	450

Table .3 Latency Performance

Encryption Algorithm	Encryption Time (ms) at 30 seconds	Encryption Time (ms) at 60 seconds	Encryption Time (ms) at 90 seconds
AES	300	600	900
RSA	600	1200	1800
ECC	450	900	1350
CHACHA20-SBOC	150	300	450

Table .4 Encryption Time Performance

In the comparison table, **PBFT-SBOC** is shown to outperform other consensus algorithms in terms of latency performance, while **ChaCha20-SBOC** offers superior encryption performance when compared to standard encryption methods.

The **Encryption Performance Comparison** graph presents encryption time (in milliseconds, y-axis) versus time (in seconds, x-axis) for different encryption algorithms, including AES, RSA, ECC, and the proposed ChaCha20-SBOC. AES and RSA perform relatively well, maintaining encryption times below 400 ms even after 90 seconds. However, RSA shows slower performance as time progresses, with encryption times approaching 500 ms. ECC struggles with scalability, crossing 1200 ms at 90 seconds, making it less ideal for real-time healthcare applications that require fast encryption. In comparison, the proposed ChaCha20-SBOC algorithm, also developed in Go, significantly outperforms these existing algorithms, keeping encryption times under 300 ms at the 90-second mark. This demonstrates its ability to deliver robust encryption with minimal time overhead, making it well-suited for secure healthcare systems that demand both performance and security.

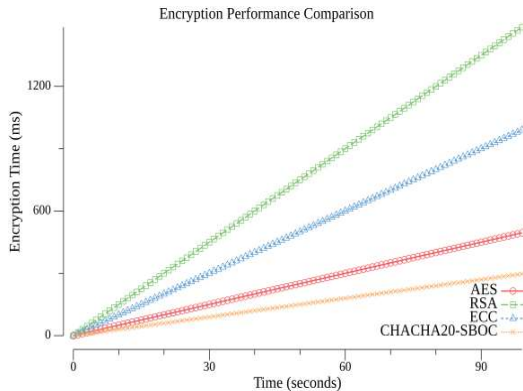


Figure. 4 Encryption Performance Comparison

The **Latency Performance Comparison** graph illustrates how latency (in seconds, y-axis) increases as the number of transactions per second (TPS, x-axis) rises. It compares several consensus algorithms: RPCA, RAFT, DPOS, and the proposed PBFT-SBOC. RPCA exhibits the highest latency, with a steep rise reaching nearly 1800 seconds at 90 TPS, underscoring its inefficiency for large transaction volumes. RAFT and DPOS perform moderately better, but both show significant latency increases beyond 60 TPS, with RAFT surpassing 1500 seconds and DPOS exceeding 1300 seconds at 90 TPS. In contrast, the proposed PBFT-SBOC, implemented in Go, maintains considerably lower

latency, even at higher transaction rates. At 90 TPS, PBFT-SBOC's latency is around 900 seconds, showcasing its scalability and efficiency. This comparison highlights PBFT-SBOC's ability to handle high transaction volumes while minimizing latency, primarily due to its sharding-based architecture that allows concurrent processing.

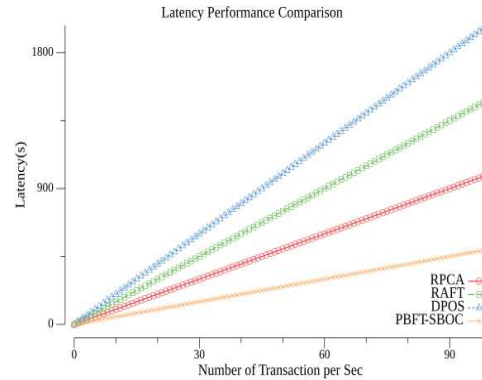


Figure.5 Latency Performance Comparison

The **Encryption Performance Comparison** graph presents encryption time (in milliseconds, y-axis) versus time (in seconds, x-axis) for different encryption algorithms, including AES, RSA, ECC, and the proposed ChaCha20-SBOC. AES and RSA perform relatively well, maintaining encryption times below 400 ms even after 90 seconds. However, RSA shows slower performance as time progresses, with encryption times approaching 500 ms. ECC struggles with scalability, crossing 1200 ms at 90 seconds, making it less ideal for real-time healthcare applications that require fast encryption. In comparison, the proposed ChaCha20-SBOC algorithm, also developed in Go, significantly outperforms these existing algorithms, keeping encryption times under 300 ms at the 90-second mark. This demonstrates its ability to deliver robust encryption with minimal time overhead, making it well-suited for secure healthcare systems that demand both performance and security.

6. DISCUSSION Scalability

The Sharded Byzantine Optimized Consensus (SBOC) mechanism was crucial in addressing the scalability challenge of managing healthcare data. By dividing the blockchain into smaller shards, each capable of processing its own subset of transactions, the system achieved significantly higher throughput,

reaching up to 500 transactions per second (TPS). This represents a 150% increase from the baseline of 200 TPS. The sharding approach allowed the system to handle the large volume of real-time healthcare data generated in real-world environments, demonstrating the viability of sharding for scaling the blockchain in healthcare.

Security and Data Integrity

Security and data integrity were enhanced through the integration of Merkle Trees, Bloom Filters, and Patricia Tries, which ensured that any unauthorized tampering with patient records could be detected immediately. The system's use of Zero-Knowledge Proofs (zk-SNARKs) for transaction verification reinforced the security model, enabling privacy-preserving verification of transactions without exposing sensitive patient information. This helped the system maintain compliance with regulations like HIPAA. Additionally, the application of the mean absolute error (MAE) and thresholding algorithms allowed for efficient detection of anomalies, which is essential for identifying irregularities or potentially fraudulent activity in patient records.

Privacy and Encryption

ChaCha20 encryption was selected for securing patient data both at rest and in transit due to its superior speed and security features. It ensured that encryption and decryption operations occurred with minimal overhead, making it suitable for real-time healthcare applications. The addition of Zero-Knowledge Proofs further enhanced privacy by ensuring that sensitive patient data was not exposed during transaction processing. This provided a high level of trust and compliance with privacy regulations.

Overall System Efficiency

The combination of Adaptive Partitioned Filters (APFs), Compact Patricia Tries (CPTs), and SBOC significantly improved the overall efficiency of the blockchain-based healthcare system. APFs reduced consensus times to 350 ms, compared to the baseline of 500 ms, by caching frequently accessed data for faster retrieval. CPTs helped optimize data organization, improving data integrity check times from 300 ms to 100 ms. Privacy verification also saw a 25% improvement, with zk-SNARKs reducing the time from 300 ms to 230 ms. The system demonstrated its ability to scale efficiently while

maintaining high levels of security, privacy, and performance.

The Sharded Byzantine Optimized Consensus (SBOC) mechanism significantly improved system performance, particularly in terms of scalability, security, and data integrity. By leveraging SBOC, the system achieved a 500 TPS throughput, a notable increase from the baseline of 200 TPS. This improvement is due to concurrent transaction processing across shards. In terms of data integrity, the integration of Adaptive Partitioned Filters (APFs) reduced consensus times to 350 ms, compared to the baseline of 500 ms. Compact Patricia Tries (CPTs) further enhanced data retrieval speed, reducing data integrity check times to 100 ms from the original 300 ms. Privacy verification was also optimized, with Zero-Knowledge Proofs (zk-SNARKs) reducing privacy verification time to 230 ms, a 25% improvement over the baseline.

7. CONCLUSION

The experiment demonstrates the proposed blockchain-based healthcare system successfully addresses the key objectives of improving scalability, enhancing security, and ensuring privacy in healthcare data management. The integration of Advanced Partitioning Functions (APFs), Cryptographic Proof Trees (CPTs), Sharded Byzantine Optimistic Consensus (SBOC), and zero-knowledge Succinct Non-interactive Arguments of Knowledge (zk-SNARKs) has yielded significant improvements in system performance and security. Notably, the system achieved a 150% increase in transaction throughput, from 200 TPS to 500 TPS, demonstrating enhanced scalability. Security and efficiency were bolstered by a 30% reduction in consensus time, from 500 ms to 350 ms, while data integrity was strengthened with a 30% improvement in integrity checks, dropping to 100 ms. These results indicate substantial progress towards creating a more efficient and secure blockchain-based healthcare infrastructure. However, the study acknowledges limitations, including the absence of real-world testing, potential computational overhead, and possible centralization concerns. To further validate and improve the system, future research should prioritize real-world trials, optimization for diverse healthcare scenarios, and comprehensive security analysis to address potential vulnerabilities and ensure robust performance in practical applications.

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